

OpenADR 2.0b

Protocol Implementation Conformance Statement (PICS)

Version 1.1.6

Valid for Certification as of March 2, 2019

Manufacturer:	Epic Charging
Product Type:	Software Gateway
Product Name:	Epic Charging OpenADR VEN
Firmware Revision:	2023.04
<i>Tested OpenADR 2.0b Profile Spec version:</i>	1.1

Disclaimer:

The information provided in this document can be made available to the general public in order to identify the tested versions, features and options.

By signing this document, the manufacturer confirms that all information provided in this document is correct and the applicable features have been tested.

Manufacturer Name: Epic Charging

Representative Name and Title: Andrey Konchenko Head of Product

Signature: *Andrey Konchenko*

Date: 2023 04 24

Revisions:

Version	Changes	Date/Editor
1.0.0	<ul style="list-style-type: none"> Released Version 	06/28/13
1.0.1	<ul style="list-style-type: none"> Added additional optional test case conditions 	07/28/13
1.0.2	<ul style="list-style-type: none"> Added test case A_E1_0285 and A_E0_0285 to optional list 	08/15/13
1.0.4	<ul style="list-style-type: none"> Added test case A_E3_0680 to optional list 	08/20/13
1.0.5	<ul style="list-style-type: none"> Added the following test cases to optional list. A_E2_0435, A_E3_0435, A_E2_0685, A_E3_0685, R1_3070_TH_VTN_1, R0_8070_TH_VTN_1, R1_3080_TH_VTN_1, R0_8080_TH_VTN_1, R1_3090_TH_VTN_1, R0_8090_TH_VTN_1, R1_3100_TH_VTN_1, R0_8100_TH_VTN_1, R1_3120_TH_VTN_1, R0_8120_TH_VTN_1, R1_3050_TH_VEN, R0_8050_TH_VEN_1, R1_3060_TH_VEN, R0_8060_TH_VEN, R1_3120_TH_VTN_1 and R0_8120_TH_VTN_1. 	09/09/13
1.0.6	<ul style="list-style-type: none"> Corrected typo. Removed test case A_E0_0295. Mentioned GO_9005. 	2/11/2014 EP, BD
1.0.7	<ul style="list-style-type: none"> Corrections 	11/7/2015 JZ
1.1.2	<ul style="list-style-type: none"> Revision incremented 	4/25/17 JZ
1.1.3	<ul style="list-style-type: none"> Corrected typo on skipped test cases in item 5 of Optional Test Case Guidelines. R1 was changes to R0 on last 4 test cases Clarified payloads required if VEN or VTN do not implement optional characteristics of reporting. Corrected test specification and profiles specification references 	12/12/18 JZ
1.1.4	<ul style="list-style-type: none"> Changed version to sync with test harness version 	9/12/19
1.1.6	<ul style="list-style-type: none"> Align document version with current test harness version 	2/5/22

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Introduction

The purpose of this PICS document is to provide a mechanism whereby a supplier of an implementation based on the following requirements may provide information about the implementation in a standardized manner.

These requirements are drawn from the OASIS Energy Interoperation standard and related schemas. With the exception of OpenADR Alliance schema extensions, payloads generated by OpenADR 2.0b implementations should validate against the Energy Interop schemas.

Information provided by the supplier will be used to assess the implementation's compliance to the requirements, as well as to configure the certification tests performed on the implementation.

References

- OpenADR 2.0b Profile Specification 1.1
- OpenADR 2.0b Test Spec V1.1.6
- OpenADR 2.0b Schema

Abbreviations and Conventions

The PICS information comprises information in a tabular format as follows:

- **Item Column** – A number which identifies the item in the table
- **Capability Column** – A brief description of the requirement
- **Reference Column or Section Header** – A reference to a specific requirement in the specification
- **Status Column** – Contains notations regarding the requirements
 - M – Mandatory
 - O – Optional
 - N/A – Not applicable
 - X – Prohibited
 - O-i – Qualified Optional (mutually exclusive or selectable options from a set identified with the same “i” value)
 - C-i – Conditional (status dependent on support of other optional requirements , identified in footnotes at bottom of table with “i” being a reference integer for the footnote(s))
- **Support Column** – Yes or No answer is required. If feature is not applicable, answer No.
- **Values Allowed Column** – Optional column representing set of values allowed.
- **Values Supported Column** – Optional column where supplier can indicate a set of values supported by the implementation.

Note that all requirement references in the tables on the following pages are with respect to the OpenADR 2.0b Profile Specification, Version 1.1.

Instructions for Completing the PICS

The first part of the PICS document, System Identification, is to be completed as indicated with the information necessary to fully identify both the supplier and the implementation.

The main part of the PICS document is a fixed format questionnaire. Answers to questionnaire items are to be provided in the rightmost column by simply marking an answer to indicate a choice, typically Yes or No.

If an implementation fails to support a mandatory item, or supports a prohibited item, the supplier should provide supplementary information with the PICS document explaining the rationale for the exception.

Note: The burden of interoperability falls to the VTN implementation. Therefore any certified VTN must support the 2.0A profile and the 2.0B profile including the applicable schemas.

Documents required for final certification

- This PICS document
- Manufacturers Declaration of Conformity
- Completed Test Report from appointed test house
- Product marketing description for website (50 words)
- Product picture or logo if applicable
- Web link to product

If you would like to postpone posting your product on the OpenADR Alliance website for a period of time (maximum 3 months), please fill out the following section.

_____ (Manufacturer) herewith requests that the submitted product shall not be posted on the OpenADR Alliance product web page until _____ (date, max 3 months from submittal).

Name: _____

Signature: _____

Implementation and Supplier Information

Date of Statement	2023 04 24
Product Name	Software Gateway
Product Model Number	Epic Charging OpenADR VEN
Version Number(s)	1.1
Non-Default Hardware Configuration	N/A
Non-Default Software Configuration	N/A
Supplier Name, Address, Phone, Email	Epic Charging, 101 Jefferson Dr, Menlo Park, CA 94025, info@epiccharging.com , +16502506811
Contact name, email, phone for questions	Andrey Konchenko, andrey@epiccharging.com , +16502506811

Global Statement of Conformance

Requirement	Support
Are all mandatory capabilities supported for the indicated roles supported by this implementation?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No

Roles

Item	Role	Status	Support
1	VEN - Full Function	O-1	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
3	VEN - Energy Reporting Only	O-1	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
3	VTN	O-1	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No

O-1) Must answer Yes to one Role. Note that if an implementation supports both VEN and VTN functionality, two separate PICS documents should be submitted, one for each role.

Profile Support

Requirements Reference: Conformance rule 506

Item	Role	Profile	Status	Support
1	VEN/VTN	"A" Profile	C-1	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
2	VEN/VTN	"B" Profile	M	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No

C-1) VTNs must support the A and B Profile

C-1) VENs may support ONLY the B profile

Transports /Exchange Pattern Support

Requirements Reference: Figure 1, OpenADR 2.0 Certification Levels and conformance rule 37

Item	Role	Transport	Status	Support
1	VEN/VTN	SimpleHTTP - Pull	C-1	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
2	VEN/VTN	SimpleHTTP - Push	C-1	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
3	VEN/VTN	XMPP - Push	C-1	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No

C-1) VTNs must support all transports and exchange patterns.

C-1) VENs must support at least one item from the list. If SimpleHTTP items are selected this must include the "SimpleHTTP-Pull" option from the list.

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Service Support

Requirements Reference: Section 7.2.2 and conformance rules 501 and 507

Item	Role	Service	Status	Support
1	VEN/VTN	EiEvent	C-1	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
2	VEN/VTN	EiOpt	C-1	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
3	VEN/VTN	EiReport	M	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
4	VEN/VTN	RiRegisterParty	M	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
5	VEN/VTN	OadrPoll	C-2	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No

C-1) VTNs and Full Function VENs must support these Services, but are not supported by Report Only VENs.

C-2) VTNs must support; VENs must support if simpleHTTP transport is supported.

Security Support

Requirements Reference: Conformance rules 67, 68, and 514

Item	Role	Security	Status	Support
1	VEN	SHA2 Security -TLS 1.2 -Client and server x.509 certs with SHA2 -Alliance RSA or ECC SHA2 Ciphers -SASL_EXTERNAL (XMPP Only)	M	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
2	VTN	SHA2 Security -TLS 1.2 -Client and server x.509 certs with SHA2 -Alliance RSA and ECC SHA2 Ciphers -SASL_EXTERNAL (XMPP Only if supported)	M	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
3	VEN/VTN	XML Signatures	O	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No

Payload Schema Conformance

For each payload generated by a VEN or VTN, indicate if it conforms to the OpenADR B Profile schema. Note that the Status is relative to the Role listed.

Item	Role	Payload	Status for Role	Validates Against B Schema
1	VEN-Pull	oadrPoll	C-1	<input checked="" type="checkbox"/> Yes
2	VEN	oadrRequestEvent	C-2	<input checked="" type="checkbox"/> Yes
3	VEN	oadrCreatedEvent	C-2	<input checked="" type="checkbox"/> Yes
4	VEN	oadrCreateOpt	C-2	<input checked="" type="checkbox"/> Yes
5	VEN	oadrCancelOpt	C-2	<input checked="" type="checkbox"/> Yes
6	VTN	oadrCanceledOpt	C-2	<input type="checkbox"/> No
7	VEN	oadrQueryRegistration	M	<input checked="" type="checkbox"/> Yes
8	VEN	oadrCreatePartyRegistration	M	<input checked="" type="checkbox"/> Yes
9	VEN/VTN	oadrResponse	M	<input checked="" type="checkbox"/> Yes
10	VEN/VTN	oadrCancelPartyRegistration	M	<input checked="" type="checkbox"/> Yes
11	VEN/VTN	oadrCanceledPartyRegistration	M	<input checked="" type="checkbox"/> Yes
12	VEN/VTN	oadrRegisterReport	M	<input checked="" type="checkbox"/> Yes
13	VEN/VTN	oadrRegisteredReport	M	<input checked="" type="checkbox"/> Yes
14	VEN/VTN	oadrCreateReport	C-3	<input checked="" type="checkbox"/> Yes
15	VEN/VTN	oadrCreatedReport	M	<input checked="" type="checkbox"/> Yes
16	VEN/VTN	oadrUpdateReport	C-4	<input checked="" type="checkbox"/> Yes
17	VEN/VTN	oadrUpdatedReport	C-3	<input checked="" type="checkbox"/> Yes
18	VEN/VTN	oadrCancelReport	C-3	<input checked="" type="checkbox"/> Yes
19	VEN/VTN	oadrCanceledReport	M	<input checked="" type="checkbox"/> Yes
20	VTN	oadrCreatedOpt	C-2	<input type="checkbox"/> No
21	VTN	oadrDistributeEvent	C-2	<input type="checkbox"/> No
22	VTN	oadrCreatedPartyRegistration	M	<input type="checkbox"/> No
23	VTN	oadrRequestReregistration	M	<input type="checkbox"/> No

C-1) VENs with SimpleHTTP pull support must support oadrPoll, other implementations must not.

C-2) All implementations must support these options except for Report Only VENs.

C-3) VENs are not required to implement requesting reports from VTNs. If the VEN does not implement requesting reports from the VEN, the indicated payloads are not required

C-4) VTNs are not required to offer reports, so delivering reports other than metadata is not mandatory. If the VTN does not offer reports, the indicated payload is optional.

Operational Sequence Support

The table below demonstrates the operational sequences and the conditional behavior for each of the services. The push HTTP acknowledgement (empty payload) of oadrDistributeEvent is not shown in the table. Indicate if all of the behavior shown in each section is supported by the implementation. Brackets are used to indicate conditional behavior which must be supported. Requirements reference: All figures in the B profile specification with interaction diagrams

Item	Service	App Level Exchange Sequence	Status	Support
1	EiEvent	{VEN: RequestEvent {Reply Limit}} VTN: oadrDistributeEvent {Response Required, baseline} {VEN: oadrCreatedEvent {Opt State} VTN: oadrResponse }	C-1	[]Yes
2	EiOpt	VEN: oadrCreateOpt {Availability schedule} VTN: oadrCreatedOpt ---- VEN: oadrCancelOpt VTN: oadrCanceledOpt --- VEN: oadrCreateOpt - {Event Opt State} VTN: oadrCreatedOpt	C-1	[]Yes
3	EiRegisterParty	VEN: oadrQueryRegistration VTN: oadrCreatedPartyRegistration ---- VEN: oadrCreatePartyRegistration VTN: oadrCreatedPartyRegistration ---- VEN/VTN: oadrCancelPartyRegistration VTN/VTN: oadrCanceledPartyRegistration --- VTN: oadrRequestReregistration VEN: oadrResponse VEN: oadrCreatePartyRegistration VTN: oadrCreatedPartyRegistration	M	[]Yes
4	EiReport	VEN/VTN: oadrRegisterReport VTN/VTN: oadrRegisteredReport (Create Report *) ---- VEN/VTN: oadrCreateReport (Once or Periodic) VTN/VTN: oadrCreatedReport ---- VEN/VTN: oadrUpdateReport VTN/VTN: oadrUpdatedReport {Cancel Report *} ---- VEN/VTN: oadrCancelReport VTN/VTN: oadrCanceledReport {Report to Follow}	C-3	[]Yes
5	OadrPoll	VEN: oadrPoll VTN: Any payload sent by VTN	C-2	[]Yes

C-1) All implementations must support these options, except for Report Only VENs.

C-2) Required for SimpleHTTP Pull VENs only.

C-3)VENs and VTNs are not required to demonstrate initiating piggyback report requests and report cancellations (*), but must understand them and act upon them if received from the other party. As noted in the prior Payload Schema Conformance section, VENs are not required to demonstrate requesting reports from VTNs and VTNs are not required to deliver reports other than metadata..

Standard Event Signal Support

Indicate which of the following Alliance-defined standard signals are supported by the VEN or VTN .
Requirements Reference: Conformance rule 510

Item	Role	Signal Name	SignalType	Status	Support
1	VEN/VTN	SIMPLE		M	<input type="checkbox"/> Yes
2	VEN/VTN	ELECTRICITY_PRICE	Price	M	<input type="checkbox"/> Yes
3	VEN/VTN	LOAD_DISPATCH	setpoint	M	<input type="checkbox"/> Yes

Standard Report Support

Indicate which of the following Alliance-defined standard reports are offered as part of report registration by the VEN or VTN. Requirements Reference: Conformance rule 510

Item	Role	Report Name	Status	Support
1	VEN	METADATA	M	<input type="checkbox"/> Yes
2	VEN	TELEMETRY_UASGE	M	<input type="checkbox"/> Yes
3	VEN	TELEMETRY_STATUS	M	<input type="checkbox"/> Yes
4	VEN	HISTORY_USAGE	C-1	<input type="checkbox"/> Yes
5	VTN	METADATA	M	<input type="checkbox"/> No

C-1) Optional for full function VENs; mandatory for Report Only VENs.

Alliance “B” Profile Detailed Requirements

In addition to the requirements defined by the schema, the OpenADR 2.0b Profile has a detailed set of conformance rules that define the expected behavior of VTN and VEN implementations. As these rules already reflect the testable requirements, there is no need to relist them here. Please refer to the OpenADR 2.0b Profile Specification while indicating which of the following conformance rules are supported by the implementation.

Item (Rule)	Role	Status	Support
1	VEN/VTN	C-1	<input checked="" type="checkbox"/> Yes
2	VTN	C-1	<input type="checkbox"/> No
3	VTN	C-1	<input type="checkbox"/> No
4	VTN	C-1	<input type="checkbox"/> No
5	VTN	C-1	<input type="checkbox"/> No
6	VEN	C-1	<input checked="" type="checkbox"/> Yes
8	VTN	C-1	<input type="checkbox"/> No
9	VTN	C-1	<input type="checkbox"/> No
10	VTN	C-1	<input type="checkbox"/> No
12	VEN	C-1	<input checked="" type="checkbox"/> Yes
13	VTN	C-1	<input type="checkbox"/> No
14	VTN	C-1	<input type="checkbox"/> No
15	VTN	C-1	<input type="checkbox"/> No
16	VTN	C-1	<input type="checkbox"/> No
17	VTN	C-1	<input type="checkbox"/> No
18	VEN/VTN	C-1	<input checked="" type="checkbox"/> Yes
19	VEN	C-1	<input checked="" type="checkbox"/> Yes
20	VTN	C-1	<input type="checkbox"/> No
21	VEN/VTN	C-1	<input checked="" type="checkbox"/> Yes
22	VEN	C-1	<input checked="" type="checkbox"/> Yes
23	VEN/VTN	C-1	<input checked="" type="checkbox"/> Yes
25	VTN	C-1	<input type="checkbox"/> No
27	VTN	C-1	<input type="checkbox"/> No
29	VTN	C-1	<input type="checkbox"/> No
30	VEN	C-1	<input checked="" type="checkbox"/> Yes
31	VEN	C-1	<input checked="" type="checkbox"/> Yes
32	VEN/VTN	C-1	<input checked="" type="checkbox"/> Yes
33	VEN/VTN	C-1	<input checked="" type="checkbox"/> Yes
35	VEN	C-1	<input checked="" type="checkbox"/> Yes
36	VEN	C-1	<input checked="" type="checkbox"/> Yes
37	VEN	C-1	<input checked="" type="checkbox"/> Yes
38	VTN	C-1	<input type="checkbox"/> No
40	VTN	C-1	<input type="checkbox"/> No
41	VTN	C-1	<input type="checkbox"/> No
42	VEN/VTN	C-1	<input checked="" type="checkbox"/> Yes
43	VEN	C-1	<input checked="" type="checkbox"/> Yes
45	VEN/VTN	C-1	<input checked="" type="checkbox"/> Yes
46	VEN/VTN	C-1	<input checked="" type="checkbox"/> Yes
47	VEN/VTN	C-1	<input checked="" type="checkbox"/> Yes
48	VEN/VTN	C-1	<input checked="" type="checkbox"/> Yes
50	VTN	C-1	<input type="checkbox"/> No
51	VEN/VTN	C-1	<input checked="" type="checkbox"/> Yes
52	VTN	C-1	<input type="checkbox"/> No

Item (Rule)	Role	Status	Support
53	VEN/VTN	C-1	<input type="checkbox"/> Yes
56	VEN	C-1	<input type="checkbox"/> Yes
57	VEN/VTN	C-1	<input type="checkbox"/> Yes
58	VEN	C-1	<input type="checkbox"/> Yes
59	VEN	C-1	<input type="checkbox"/> Yes
60	VEN	C-1	<input type="checkbox"/> Yes
61	VEN	C-1	<input type="checkbox"/> Yes
62	VEN	C-1	<input type="checkbox"/> Yes
63	VTN	C-1	<input type="checkbox"/> No
65	VEN	C-1	<input type="checkbox"/> Yes
66	VEN/VTN	C-1	<input type="checkbox"/> Yes
67	VEN/VTN	C-1	<input type="checkbox"/> Yes
68	VEN/VTN	C-1	<input type="checkbox"/> Yes
70	VTN	C-1	<input type="checkbox"/> No
100	VTN	C-1	<input type="checkbox"/> No
101	VTN	C-1	<input type="checkbox"/> No
102	VTN	C-1	<input type="checkbox"/> No
103	VTN	C-1	<input type="checkbox"/> No
104	VTN	C-1	<input type="checkbox"/> No
105	VTN	C-1	<input type="checkbox"/> No
106	VTN	C-1	<input type="checkbox"/> No
107	VTN	C-1	<input type="checkbox"/> No
108	VTN	C-1	<input type="checkbox"/> No
109	VEN	C-1	<input type="checkbox"/> Yes
110	VTN	C-1	<input type="checkbox"/> No
111	VEN/VTN	C-1	<input type="checkbox"/> Yes
112	VEN	C-1	<input type="checkbox"/> Yes
113	VTN	C-1	<input type="checkbox"/> No
114	VEN	C-1	<input type="checkbox"/> Yes
115	VTN	C-1	<input type="checkbox"/> No
116	VEN/VTN	C-1	<input type="checkbox"/> Yes
200	VEN/VTN	C-1	<input type="checkbox"/> Yes
201	VEN/VTN	C-1	<input type="checkbox"/> Yes
202	VEN	C-1	<input type="checkbox"/> Yes
203	VTN	C-1	<input type="checkbox"/> No
204	VEN	C-1	<input type="checkbox"/> Yes
205	VTN	C-1	<input type="checkbox"/> No
206	VEN/VTN	C-1	<input type="checkbox"/> Yes
207	VEN/VTN	C-1	<input type="checkbox"/> Yes
208	VEN	C-1	<input type="checkbox"/> Yes
209	VEN/VTN	C-1	<input type="checkbox"/> Yes
210	VEN/VTN	C-1	<input type="checkbox"/> Yes
211	VEN/VTN	C-1	<input type="checkbox"/> Yes
300	VEN/VTN	M	<input type="checkbox"/> Yes
301	VEN/VTN	M	<input type="checkbox"/> Yes
302	VEN/VTN	M	<input type="checkbox"/> Yes
303	VEN/VTN	M	<input type="checkbox"/> Yes
304	VEN/VTN	M	<input type="checkbox"/> Yes
305	VEN/VTN	M	<input type="checkbox"/> Yes
306	VEN/VTN	M	<input type="checkbox"/> Yes
307	VEN/VTN	M	<input type="checkbox"/> Yes
308	VEN/VTN	M	<input type="checkbox"/> Yes
309	VEN/VTN	M	<input type="checkbox"/> Yes

Item (Rule)	Role	Status	Support
311	VEN/VTN	M	<input type="checkbox"/> Yes
312	VEN/VTN	M	<input type="checkbox"/> Yes
313	VEN/VTN	M	<input type="checkbox"/> Yes
314	VEN/VTN	M	<input type="checkbox"/> Yes
315	VEN/VTN	M	<input type="checkbox"/> Yes
316	VEN/VTN	M	<input type="checkbox"/> Yes
317	VEN/VTN	M	<input type="checkbox"/> Yes
318	VEN/VTN	M	<input type="checkbox"/> Yes
319	VEN/VTN	M	<input type="checkbox"/> Yes
321	VEN/VTN	M	<input type="checkbox"/> Yes
322	VEN/VTN	M	<input type="checkbox"/> Yes
324	VEN/VTN	M	<input type="checkbox"/> Yes
325	VEN/VTN	M	<input type="checkbox"/> Yes
327	VEN/VTN	M	<input type="checkbox"/> Yes
328	VEN/VTN	M	<input type="checkbox"/> Yes
329	VEN/VTN	M	<input type="checkbox"/> Yes
330	VEN/VTN	M	<input type="checkbox"/> Yes
331	VEN/VTN	M	<input type="checkbox"/> Yes
333	VEN/VTN	M	<input type="checkbox"/> Yes
334	VEN/VTN	M	<input type="checkbox"/> Yes
335	VEN/VTN	M	<input type="checkbox"/> Yes
336	VEN/VTN	M	<input type="checkbox"/> Yes
337	VEN/VTN	M	<input type="checkbox"/> Yes
338	VEN/VTN	M	<input type="checkbox"/> Yes
339	VEN/VTN	M	<input type="checkbox"/> Yes
340	VEN/VTN	M	<input type="checkbox"/> Yes
341	VEN/VTN	M	<input type="checkbox"/> Yes
342	VEN/VTN	M	<input type="checkbox"/> Yes
343	VEN/VTN	M	<input type="checkbox"/> Yes
344	VEN/VTN	O	<input type="checkbox"/> Yes
345	VEN/VTN	M	<input type="checkbox"/> Yes
346	VEN/VTN	M	<input type="checkbox"/> Yes
400	VEN/VTN	M	<input type="checkbox"/> Yes
401	VEN/VTN	M	<input type="checkbox"/> Yes
402	VEN/VTN	M	<input type="checkbox"/> Yes
403	VEN/VTN	M	<input type="checkbox"/> Yes
404	VEN/VTN	M	<input type="checkbox"/> Yes
405	VEN/VTN	M	<input type="checkbox"/> Yes
406	VEN/VTN	M	<input type="checkbox"/> Yes
407	VEN/VTN	M	<input type="checkbox"/> Yes
500	VEN/VTN	C-2	<input type="checkbox"/> Yes
501	VEN/VTN	C-2	<input type="checkbox"/> Yes
502	VEN/VTN	C-2	<input type="checkbox"/> Yes
506	VEN/VTN	M	<input type="checkbox"/> Yes
507	VEN/VTN	M	<input type="checkbox"/> Yes
508	VEN	M	<input type="checkbox"/> Yes
509	VEN/VTN	M	<input type="checkbox"/> Yes
510	VEN/VTN	M	<input type="checkbox"/> Yes
511	VEN/VTN	M	<input type="checkbox"/> Yes
512	VEN/VTN	M	<input type="checkbox"/> Yes
514	VEN/VTN	O	<input type="checkbox"/> Yes
515	VEN/VTN	M	<input type="checkbox"/> Yes

C-1) Required for all but Report Only VENs.

C-2) All VTNs and Simple HTTP VENs must support this requirement.

Implementation Capabilities and Configuration

For each of the questions below, indicate if the implementation has the necessary functionality, configurability, and documentation to successfully complete the certification testing process.

Item	Description	Support
1	Does the implementation being submitted for certification support the capabilities outlined in the DUT Implementation Limits section of the OpenADR 2.0b Test Specification documentation? Note that these limits do not imply minimum market needs for a “b” profile implementation. Supported	<input checked="" type="checkbox"/> Yes
2	Does the implementation being submitted for certification support methodologies necessary to trigger specific actions during test execution as outlined in the DUT Configuration Requirements section of the OpenADR 2.0b Test Specification documentation? Supported	<input checked="" type="checkbox"/> Yes
3	Have you attached documentation to this PICS statement regarding how to configure the implementation as outlined in Appendix A of the OpenADR Certification Test Harness User Guide? Not supported	<input type="checkbox"/> No
4	Does the implementation being submitted for certification have pre-installed x.509 certificates from the OpenADR/NetworkFX portal? Supported	<input checked="" type="checkbox"/> Yes
5	Does the VEN's being submitted for certification must have host authentication of the X.509 client certificate CN field disabled in order to avoid complex reconfiguration of the test harness and Openfire server? Answer No if not testing a VEN Supported	<input checked="" type="checkbox"/> Yes
6	Does the VTN being submitted for certification have the XMPP Server per-configured for the user name of 111111111111 which the test harness uses to connect to the implementation's VTN XMPP server. Answer No if not testing a VTN or XMPP is not supported N/A	<input type="checkbox"/> No
7)	Does the VTN being offered reports as part of its metadata report. If so, periodic reporting will be tested. Answer No if not testing a VTN or no reports are supported N/A	<input type="checkbox"/> No

Optional Test Case Guidelines

Following test cases may be skipped if the criterion noted is met.

Item	Criteria
1	<p>Implementations will support either a push and/or pull exchange model. XMPP is always push, whereas HTTP may be either push or pull. Test cases are listed within Eclipse in a tree with branches labels Push or Pull. Only test cases appropriate to the exchange models supported should be run on the implementation.</p> <p>N/A</p>
2	<p>If the implementation supports multiple transport and exchange model combinations, the full test suite shall be run across at least one push and one pull configuration. If there is more than one push configuration, then at least 4 non-negative randomly selected test cases shall be run across each service, with execution of the GO_9005_TH_xxx/GO_9010_TH_xxx security test being mandatory as part of the test cases run.</p> <p>N/A</p>
3	<p>The following test cases may be skipped if HISTORY_USAGE reporting is not supported by a full function VEN. However, Report Only VENs must pass these test cases.</p> <p>_R1_3130_TH_VTN _R0_8130_TH_VTN _R1_3140_TH_VTN _R0_8140_TH_VTN</p> <p>Not Supported</p>
4	<p>If the implementation is a Report Only VEN, then all of the tests under the EiEvent and EiOpt service headings may be skipped as these services are not required.</p> <p>N/A</p>
5	<p>If the answer to question 7 in the Implementation Capabilities and Configuration section is No and the implementation is a VTN, the following test cases may be skipped:</p> <p>R1_3080_TH_VEN R1_3090_TH_VEN R1_3100_TH_VEN R1_3120_TH_VEN R0_8080_TH_VEN R0_8090_TH_VEN R0_8100_TH_VEN R0_8120_TH_VEN</p> <p>N/A</p>
6	<p>If the VTN cannot send an Empty oadrDisributeEvent skip test case</p> <p>EO_6010_TH_VEN</p> <p>N/A</p>

7	If the VTN cannot be configured to set oadrResponseRequired to never skip test cases A_E2_0468, A_E2_0480, A_E2_0498, A_E3_0468, A_E3_0480, and A_E3_0498 N/A
8	If VEN cannot be configure with multiple Market Context, skip test case A_E1_0285 and A_E0_0285 Supported
9	If the VTN cannot set a ramp up period skip test cases A_E2_0527 and A_E3_0527 N/A
10	If the VTN cannot configure multiple intervals in an event skip test case A_E2_0432 and A_E3_0432 N/A
11	If a VTN cannot set an event's priority skip test case A_E2_0510, A_E3_0510, A_E2_0520, and A_E3_0520 N/A
12	If the VTN does not support configuration of eiTarget subelements, skip test case A_E3_0435 and A_E2_0435. N/A
13	If the VTN does not support configuration of randomization with a start after element skip test cases A_E2_0685 and A_E3_0685. N/A
14	If the VEN cannot be configured to request reports from VTN skip test case R1_3070_TH_VTN_1, R0_8070_TH_VTN_1, R1_3080_TH_VTN_1, R0_8080_TH_VTN_1, R1_3090_TH_VTN_1, R0_8090_TH_VTN_1, R1_3100_TH_VTN_1, R0_8100_TH_VTN_1, R1_3120_TH_VTN_1 and R0_8120_TH_VTN. Supported
15	If the VTN or VEN cannot be configured to send piggy back report requests and report cancellation payloads skip test cases R1_3050_TH_VEN, R0_8050_TH_VEN_1, R1_3060_TH_VEN, R0_8060_TH_VEN, R1_3120_TH_VTN_1 and R0_8120_TH_VTN. Supported
16	Test cases E1_1050_TH_VTN and E1_6050_TH_VTN may be skipped the VEN cannot configured to send an async oadrRequestEvent as required by this test case. Supported